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Docket No. HRT-0275

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Rosemann et al.

Serial No. : ~~09/965,571~~

09/965 571

Art Unit: 3736

Filed : September 27, 2002

Examiner:

For : Valve Sizer and Method of Use

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TECHNOLOGY CENTER R3700

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United States Postal Service as first class mail in an envelope addressed
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August 7, 2002

(Date of Deposit)

Brian S. Tomko

(Name of applicant, assignee, or Registered Representative)

(Signature)

August 7, 2002

(Date of Signature)

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37
C.F.R. §§1.97-1.98, information relating to the above-
identified application is hereby disclosed. Inclusion of
information in this statement is not to be construed as an
admission that this information is material as that term is
defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the
patentability of the claimed invention over any of the
information provided herewith, and/or to prove that this
information may not be prior art, and/or to prove that this

information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

☐ Statement in Accordance with §1.97(e) (attached); or

☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or

an action that otherwise closes prosecution and that it is accompanied by one of:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).

☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

☒ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- ☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

☒ Copies of references listed on the attached Form PTO-1449 were previously cited by or submitted to the

Patent and Trademark Office in prior application Serial
No. 09/514,453, filed February 25, 2000

☒ There are no listed references which are not in the
English language.

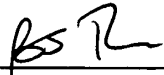
☐ The relevance of those listed references which are
not in the English language is as follows:

☐ Attached are copies of search report(s) from
corresponding patent application(s), which are listed on the
attached Submission Under MPEP 609 D.

☐ Attached are the following non-published pending
patent applications which may be deemed relevant, which are
listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to
Deposit Account No. 10-0750/fHRT-0275/BST. This form is
submitted in triplicate.

Respectfully submitted,



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DATED: August 7, 2002



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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

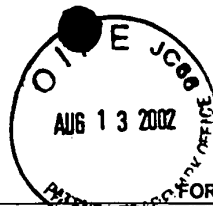
Sheet 1 of 2

Application Number	09/965,171
Filing Date	9/27/01
First Named Inventor	Daniel Rosemann
Group Art Unit	
Examiner Name	
Attorney Docket Number	HRT-0275

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
		4,016,867		King et al.	4/1977	
		4,056,854		Boretos et al.	11/1977	
		4,211,241		Kaster et al.	7/1980	
		4,362,167		Nicolai et al.	12/1982	
		4,566,465		Arhan et al.	1/1986	
		4,585,453		Martin et al.	4/1986	
		4,602,911		Ahmadi et al.	7/1986	
		4,679,556		Lubbock et al.	7/1987	
		4,685,474		Kurz et al.	8/1987	
		5,010,892		Colvin et al.	4/1991	
		5,042,161		Hodge	8/1991	
		5,053,008		Bajaj	10/1991	
		5,089,015		Ross	2/1992	
		5,171,248		Ellis	12/1992	
		5,236,450		Scott	8/1993	
		5,360,014		Sauter et al.	11/1994	
		5,471,756		Bolanos et al.	12/1995	
		5,476,510		Eberhardt et al.	12/1995	
		5,489,296		Love et al.	2/1996	
		5,496,346		Horzewski et al.	3/1996	
		5,752,522		Murphy	5/1998	
		5,885,228		Rosenman et al.	3/1999	
		6,042,554		Rosenman et al.	3/2000	

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FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T ⁶
		Office ³	Number ⁴	KindCode ⁵				
		FR	2681775			4/1993		
		SU	001344320			10/87		
		WO	92/20280			11/92		
		WO	81/02098			8/1981		
		WO	87/05489			9/1987		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.
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